

Renee A. Fitts, Reg. No. 35,136
William M. Smith, Reg. No. 30,223
James M. Heslin, Reg. No. 29,541
Joe Liebeschuetz, Reg. No. 37,505
John R. Storella, R g. No. 32,944
Kevin L. Bastian, Req. No. 34,774

Please direct all future correspondence regarding the
subject application to:

Renee A. Fitts
TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, CA 94111-3834
(650) 326-2400
Fax (650) 326-2422

Canji, Inc.

Date:

9/17/98

By:



Dr. T.L. Nagabhushan

Title: Vice President

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(650) 326-2400
Fax (650) 326-2422

CERTIFICATE UNDER 37 C.F.R. § 3.75(b)

Applicant: Richard J. Gregory et al.

Application No.: 08/328,673 Filed: October 25, 1994

For: Recombinant Adenoviral Vector and Methods of Use

Canji, Inc., a Delaware corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 7591, Frame(s) 0355-0359, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
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3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.


The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : _____

Name : T.L. Nagabhushan

Title : Vice President

Signature :  9/17/98



UNITED STATES DEPARTMENT OF COMMERCE
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DECEMBER 27, 1995

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CAMPBELL AND FLORES
CATHRYN CAMPBELL
4370 LA JOLLA VILLAGE DRIVE, SUITE 700
SAN DIEGO, CA 92122



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/13/1995

REEL/FRAME: 7591/0355
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
GREGORY, RICHARD J.

DOC DATE: 11/23/1994

ASSIGNOR:
WILLS, KEN N.

DOC DATE: 11/23/1994

ASSIGNOR:
MANEVAL, DANIEL C.

DOC DATE: 11/23/1994

ASSIGNEE:
CANJI, INC.
3030 SCIENCE PARK ROAD
SUITE 302
SAN DIEGO, CALIFORNIA 92121

SERIAL NUMBER: 08328673
PATENT NUMBER:

FILING DATE: 02/13/1995
ISSUE DATE:

RECEIVED

JAN 09 1995

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ASSIGNMENT DIVISION
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Patent and Trademark Office

AID

100055531

Attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard J. Gregory
Ken N. Wills
Daniel C. Maneval

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: CANJI, Inc.

Internal Address: _____

Street Address: 3030 Science Park RoadSuite 302City: San Diego State: CA ZIP: 92121Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: November 23, 1994
November 23, 1994
November 23, 1994

4. Application number(s) or patent number(s): 08/328,673

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/328,673

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn Campbell

Internal Address: _____

Street Address: Campbell and Flores4370 La Jolla Village Drive, Ste. 700City: San Diego State: CA ZIP: 921226. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul C. Steinhardt, Reg. No. 30,806

Name of Person Signing

Signature

Date

2/8/95Total number of pages comprising cover sheet: 5

MRP 2-13-95

JOINT

ASSIGNMENT

This Assignment is made by Richard J. Gregory of Carlsbad, California, Ken N. Wills of Encinitas, California, and Daniel C. Maneval of San Diego, California, Assignors, to Canji, Inc., Assignee, having a place of business at 3030 Science Park Road, Suite 302, San Diego, California 92121.

WHEREAS, Assignors have invented a new and useful RECOMBINANT ADENOVIRAL VECTOR AND METHODS OF USE for which an application for United States Letters Patent was filed on October 25, 1994, in the United States Patent and Trademark Office, bearing Serial No. 08/328,673 and identified as Attorney Docket No: P-CJ 1192;

WHEREAS, Assignors believe themselves to be the original inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to Assignee, the entire right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to

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which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

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Filed: October 25, 1994
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IN WITNESS WHEREOF, Assignors have executed this Assignment
on the date(s) provided below.

Assignor: RICHARD J. GREGORY

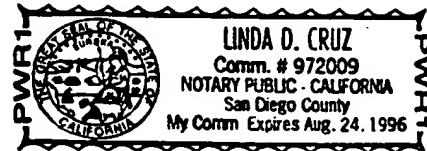
Richard J. Gregory
Signature

STATE OF California)
COUNTY OF San Diego)

On November 23, 1994, before me, Linda D. Cruz, Notary Public
personally appeared Richard J. Gregory,
X personally known to me - OR proved to me on the basis of
~~satisfactory evidence~~ to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Linda D. Cruz
(Signature of Notary)



Assignor: KEN N. WILLS

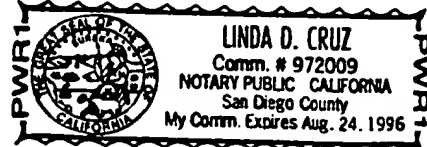
Ken N. Wills
Signature

STATE OF California)
COUNTY OF San Diego)

On November 23, 1994, before me, Linda D. Cruz, Notary Public
personally appeared Ken N. Wills,
X personally known to me - OR proved to me on the basis of
~~satisfactory evidence~~ to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Linda D. Cruz
(Signature of Notary)



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Filed: October 25, 1994
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Assignor: DANIEL C. MANEVAL

Daniel C. Maneval

STATE OF California)
COUNTY OF San Diego)

On November 23, 1994, before me, Linda D. Cruz Notary Public
personally appeared Daniel C. Maneval,
X personally known to me ~~OR~~ proved to me on the basis of
~~satisfactory evidence~~ to be the person(s) whose name(s) (is/are)
subscribed to the within instrument and acknowledged to me that
he/she/they executed the same in (his/her/their) authorized
capacity(~~ies~~), and that by (his/her/their) signature(s) on the
instrument the person(s), or the entity upon behalf of which the
person(s) acted, executed the instrument.

WITNESS by hand and official seal.

Linda D. Cruz
(Signature of Notary)

